

EQUITY TRADING AND DEMAT ACCOUNT FORM

SAMCO SECURITIES LIMITED

A-302, 3rd Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Tel:022-22227777,Fax:022-24391810,Website:www.samco.in

SET OF ACCOUNT OPENING DOCUMENT

INDEX OF THE DOCUMENTS

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		B. Document captures the additional information about the Constituent relevant to trading account and an instruction /check list.	4 - 5
2	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	6
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4	A. Nomination Form	Nomination form details	10-11
	B. Rights and Obligations	Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Annexure A2
5	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure B
6	Guidance note	Document detailing dos and don'ts for trading on exchange, for the education of the investors.	Annexure C
7	Policies and Procedures	Document describing significant policies and procedures of Stock Broker.	Annexure D
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
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Checklist while submitting the Trading Application Form

- ☐ **1 Passport Size Photograph - On Page 3.** Signature has to be affixed across the Photograph
- ☐ **PAN Card** - Self Attested Photocopy
- ☐ **Permanent Address Proof** : - Self Attested Photocopy
- ☐ **Bank Proof** - Self Attested Cancelled Cheque with your name printed **OR** Bank Statement stating IFSC & MICR
- ☐ **Income Proof** - Self Attested Photocopy of 6 months Bank Statement or Income Tax Return or Salary Slip or Any other Income Proof
- ☐ **Signatures on 10 areas on the application form**
- ☐ **Signatures on Trading Preference Segments Selected on Page 4 of the Form**
- ☐ **Demat Proof** - Self Attested Photocopy of Client Master Copy or DP Statement or Welcome Letter or Transaction cum Holding Statement of your DP

Name of the Trading Member: SAMCO SECURITIES LIMITED

Exchange	SEBI REGISTRATION NO.& DATE			Trading Member ID
	Cash	F&O	CDS	
NSE	INZ000002535 9 AUG 2012	INZ000002535 9 AUG 2012	INZ000002535 9 AUG 2012	12135
BSE	INZ000002535 15 OCT 2004	INZ000002535 30 AUG 2007	25 NOV 2013	935
BSE Star MF	ARN120121			
MSEI	INZ000002535 3 JAN 2013	INZ000002535 3 JAN 2013	INZ000002535 6 OCT 2008	31600
DP-CDSL	IN-DP-CDSL-443-2008		17 DEC 2012	CDSL DP ID:54200

Clearing Member Details:

In Cash- BSE, NSE, MSEI: SAMCO SECURITIES LIMITED
In F&O- BSE, NSE, MSEI & In CDS- BSE, NSE, MSEI: IL&FS SECURITIES SERVICES LIMITED

Compliance Officer Details

Name Narayan Thanvi
Tel No. 022-22227777
Email Id compliance@samco.in

CEO Details

Name Mahesh P Morbia
Tel No. 022-22227777
Email Id mahesh@samco.in

REGISTERED & CORRESPONDENCE OFFICE:

A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013
For any grievance/dispute please contact to the above address or
Email at grievances@samco.in and Tel:022-22227777

In case not satisfied with the response, please contact the concerned exchange(s) at:

Exchange	BSE	NSE	MSEI
Address	Regional Office -West Dept. of Investor Services P.J.Towers,1st Floor,Dalal Street, Fort,Mumbai-400001	Investor Grievance Cell/Arbitration Exchange Plaza,5th Floor, Plot No.C/1, G Block, Bandra Kurla Complex, Bandra(E), Mumbai-400051	Vibgyor Towers,5th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E) Mumbai - 400098
IG Email Id	is@bseindia.com	ignse@nse.co.in	investorcomplaints@msei.in
Tel No.	022-22728097	022-26598190(IGC) 022-26598192(Arbitration)	022-61129028

To,

SAMCO SECURITIES LIMITED

A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Sub: Acknowledgement

I state confirm and declare that I have received the below documents in ☒ Electronic / ☐ Physical Mode and have read, understood, acknowledged and agreed to the contents of all the clauses of the below mentioned documents.

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of SAMCO.

Sl. Brief significance of the Document No

1. Duly Executed Copy of KYC
2. Rights and Obligations
3. Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments
4. Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors
5. SAMCO Tariff Sheet
6. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7. General Terms & Conditions governing securities trading and broking services of SAMCO
8. Demat Account opening form (if any)
9. Authorisation of documents for DDPI, Running Account Authorisation and electronic receipt of statements and documents
10. Read SAMCO RMS Policy, Privacy Policy, Legal terms of use and Disclaimer on samco.in/policies

Yours faithfully,

1.



Client Signature

Date:

Name of the Applicant

Know Your Client (KYC) Application Form - For IndividualsKYC Mode: ☐ Online KYC ☐ Normal ☐ EKYC OTP ☐ Offline E-kyc☐ New ☐ Change Request (Please fill this form in **ENGLISH** and in **BLOCK LETTERS**)

(Please tick the box on left margin of appropriate row where the CHANGE/CORRECTION is required and provide the details in the corresponding row)

☐ **A. IDENTITY DETAILS**

1. Name of the Applicant:

2. Father's/Spouse's Name:

3. Mother's Name:

4. Maiden Name:

5. A. Gender: ☐ Male ☐ Female ☐ Transgender B. Marital Status : ☐ Single ☐ Married

C. Date of Birth : / /

6. Nationality

☐ Indian ☐ Other (Please Specify)☐ Resident Individual ☐ Non Resident☐ Foreign National (Passport Copy Mandatory)

B. (UID)/Aadhaar, if any:

PAN Card

7. A. PAN :

8. Proof of Identity Submitted

B. ADDRESS DETAILS

1. Correspondence Address :

Address Line 1 :

Address Line 2 :

Address Line 3 :

City/Town/Village:

State:

PIN Code:

Country:

2. Specify Proof of Address submitted for Residence/Correspondence Address :

3. Permanent Address if different from above or overseas address, (mandatory for Non-Resident Applicant)

Address Line 1 :

Address Line 2 :

Address Line 3 :

City/Town/Village:

State:

PIN Code:

Country:

4. Specify Proof of Address submitted for Permanent Address :

5. Contact Details

a. Mobile Number

b. EMAIL ID:

c. Tel. (Office):

d. Tel. (Residence):

6. Any other Information : _____

7. Are you a Tax Resident of any country other than India? ☐ Yes ☐ No

(if yes , please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below)

Country	Tax Identification Number %	Identification Type(TIN or Other , Please specify)

C. DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the person who has done the IPV:

Designation:

Employee ID:

Name of the Organization :

Date of IPV :

☐ Originals Verified and Self Attested Document Copies Received:☐ Attested True copies of documents received

Main Intermediary:

POS Code: **1200000935**

Seal & Signature of Authorized Signatory

4. 

Place

TRADING ACCOUNT & DEMAT ACCOUNT (if any) RELATED DETAILS**A. BANK ACCOUNT DETAILS: [for Trading & Dividend Bank Details]****Primary Bank Details**

(i) Bank Name: _____ (ii) Branch Name: _____
 (iii) Bank Account No. _____ (iv) IFSC Code: _____
 (v) Account Type: ☐ Savings ☐ Current ☐ Others () (vi) In case of NRI Account: ☐ NRE ☐ NRO
 (vii) Bank Branch Address: _____

Secondary Bank Details

(i) Bank Name: _____ (ii) Branch Name: _____
 (iii) Bank Account No. _____ (iv) IFSC Code: _____
 (v) Account Type: ☐ Savings ☐ Current ☐ Others () (vi) In case of NRI Account: ☐ NRE ☐ NRO
 (vii) Bank Branch Address: _____

B. DEPOSITORY ACCOUNT DETAILS:

(i) Depository Participant Name: _____ (i) Depository Participant Name: SAMCO SECURITIES LTD.
 (ii) Depository Name: ☐ NSDL ☐ CDSL (ii) Depository Name: ☐ CDSL
 (iii) Beneficiary Name: _____ (iii) Beneficiary Name: _____
 (iv) DP ID: _____ (iv) DP ID: 12054200
 BO ID: _____ BO ID: As per client master attached

C. PAST ACTIONS:

Details of any action/proceedings initiated/pending/taken by SEBI/Stock Exchange/any other authority against the applicant/constituent or its partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years.

D. TRADING EXPERIENCE:

No Prior Experience ☐ Years in Equities: _____
 Years in Derivatives: _____ Years in Other Investment related Field: _____

E. DEALING THROUGH SUB-BROKERS/A.P AND OTHER STOCK BROKERS:

If client is dealing through the sub-broker, provide the following details:

Broker/Sub-Broker Name: _____ SEBI Registration Number: _____
 Registered Office Address: _____
 Phone No. _____ Website: _____

Whether dealing with any other stock broker/sub broker(in case dealing with multiple stock brokers/sub brokers, provide detail of all)

Name of Stock Broker: _____ Name of Sub Broker: _____
 Client Code: _____ Exchange: _____

Details of disputes/dues ending from/to such stock broker/Sub Broker: _____

F. ADDITIONAL DETAILS:

(i) Whether you wish to receive (a) Physical contract note ☐ (b) Electronic Contract Note (ECN) ☐
 If ECN Specify your Email ID: _____
 (ii) Whether you wish to avail of the facility of internet trading/wireless technology/mobile trading: ☐ Yes ☐ No








G. INTRODUCER DETAILS:

Name of Introducer: _____ Phone No: _____
 Address: _____
 Status of the Introducer: Sub-broker/Remiser/Authorised Person/Existing Client: Client ID : /others (please specify)

H. TRADING PREFERENCES:

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client. If, in future the client wants to trade on new segment/new exchange, segment addition mail from client's registered mail ID will suffice.

4. Please sign in all highlighted boxes as selected by you.

Exchange Segment	Cash	F&O	Currency Derivatives
NSE			
BSE			
BSE Star MF (Mutual Funds)			

5. 

I. OTHER DETAILS (for Trading & Demat (if any))

1. Gross Annual Income range(In Lakh Rs.): Below 1 ☐ 1-5 ☐ 5-10 ☐ 10-25 ☐ Above 25 ☐ AND/OR

Net-Worth in RS. _____ (Net Worth should not be older than 1 year) as on __/__/____

2. Occupation:

Name of the Employer/Establishment:

Nature of Business:

Address:

Mobile No.:

Designation:

Phone No.

3. Qualification Details

4. Please tick, if applicable ☐ Politically Exposed Person(PEP) ☐ Related to a Politically Exposed Person ☐

DECLARATION for details provided above :

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and Stock Broker any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Client Signature: 6.



Place: Date:

FOR OFFICE USE ONLY

UCC Code allotted to the client:_____

Documents Verified with originals

Client Interviewed by

In-Person Verification done by

Name of the Employee

Employee Code

Designation of the employee

Date

Signature

Seal & Signature of Authorized Signatory

I/We undertake that we have made the client aware of :Policy & Procedures", tariff sheet and all the non-mandatory documents.I/We have also made the client aware of "Right and Obligations" document(s),RDD and Guidance note. I/We have given /sent him a copy of all the KYC documents would be duly intimated to the clients. I/we also undertake that any change in the "Rights and Obligations" and RDD would be made available on my/our website, if any, for the information to the clients.

Signature of the Authorised Signatory:_____

Date:_____

Place : _____

Seal/Stamp of the Stock Broker

SAMCO TARIFF SHEET

To,
SAMCO SECURITIES LIMITED
A-1004, 10th Floor, Naman Midtown-A Wing,
Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Charges for SAMCO Trading Services

I/ We agree to pay the charges as per following charges structure for our Trading account with SAMCO effective _____

Client Name Client PAN

Equity Intraday	Futures	Options*	Currency Futures	Currency Options*
Rs 20 per executed order or 0.05% of Turnover whichever is lower				
Charge Head	Brokerage / Charges			
Equity Delivery	Rs 20 per executed order or 0.5% of Turnover whichever is lower			

*Turnover for Options is calculated as (Strike + Premium) *Lot Size

In addition to the brokerage charge the following charges will also be levied

Schedule of Charges:

No	Schedule of charges
1	Exchange turnover charges (These are charges are paid to the exchanges like NSE, BSE, MCX)
2	Securities transaction tax (Government tax for transacting in Securities Market)
3	GST (Government tax charged on Brokerage and Exchange Turnover Charge)
4	Stamp Duty: State wise stamp duty as applicable will be levied (State Government tax)
5	Clearing Member charges (These charges are paid to the clearing members for Clearing the trades)
6	SEBI turnover fees (These charges are paid to SEBI)
7	Call N Trade charges (For broker assisted trades, including RMS Square off)
8	Demat Charges (As per Schedule Below)
9	Payment Gateway Charges will be applicable at actual cost (Payable to affiliated Payment Gateway)

Detailed explanation of the Schedule of Charges is available online at <https://www.samco.in/charge-list-equities-and-equity-derivatives>

DEMAT CHARGES

Sr No.	Services	Individual
1	Annual Account Maintenance Charges - AMC	Rs.400/- plus GST
2	Deliveries/Debit (Market & Off Market) 1. Within SAMCO DP 2. Outside SAMCO DP (Charges are inclusive of CDSL tariff)	Rs.15/- plus GST per transaction 0.02% on transaction value subject to min. Rs.25/- per transaction plus GST
3	Pledge (Creation/Closure/Invocation)	Rs.30/- per ISIN plus GST (Instant Rs.60/-)
4	Dematerialisation (Conversion of physical certificate to Demat)	Rs.10/- per certification plus Rs.50/- per request towards postal charges plus GST
5	Rematerialization (Conversion of demat shares to physical certificate)	Actual CDSL charges plus Rs.50/- per request towards postal charges plus GST

- All charges mentioned above are inclusive of CDSL Charges.
- Any service, which is not mentioned above, will be charged separately as per the rates applicable from time to time.
- SAMCO reserves the right to revise the tariff structure from time to time with a notice of 30 days.
- Billing will be made on monthly basis.
- In addition to the above, all other statutory / regulatory levies such as GST etc. are recovered at the applicable rates from time to time.
- All instruction for transfer must be received at the DP at least 3.30 p.m. for the same day execution date & 5.00 p.m. for next day execution date on the pay in date accepted only at client's risk.
- Operation instruction for the joint account must be signed by all the holders.
- **AMC for the first year is absolutely free, However from the second financial year onwards AMC will be auto debited upfront into clients ledger account, subject to credit balance available in ledger, which is Rs.400 plus GST.**

7. 

Client Signature

Date:

Name of the Applicant

VOLUNTARY AUTHORIZATION LETTER

To,
SAMCO SECURITIES LIMITED
A-1004, 10th Floor, Naman Midtown-A Wing,
Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Dear Sir,

Sub: Voluntary Authorization Letter

1) **Authorization for Electronic Contract Notes (ECN)**

I/We have been/shall be dealing through you as may/our broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and or Interest Rate Futures Segments. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I/We understand that, I/We have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/We hereby opt to receive contract notes in electronic form. I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me/us: Email:

I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account / email id.

I/We agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever.

I/We understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I/We am/are an internet client then in that event the request for change in email id/email account can be made by me/us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my/our verbal directions/authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

2) **Authorization for Non settlement of Accounts for the Quarters**

I / we am / are doing continuous trading and delivery based business in securities with you. I / We am / are aware that Settlement of Accounts is mandatory. However, as per Exchange circulars no NSE/INSP/24849 dated October 29, 2013 and BSE circular no 20131029-15 dated October 29, 2013.

I we give my / our consent for not to settle my / our account if the balance is less than 10,000/-.

This undertaking will be valid in all quarter when my/our balance is less than Rs.10000/- till my/our revocation in writing .

3) **Running Account Authorization**

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.

2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.

3. I/We request you to settle my funds and securities as per Circulars issued by SEBI/Exchanges from time to time.

• Once in a calendar Month ☐

• Once in every calendar Quarter except the funds given towards collaterals / margin in form of Bank Guarantee and /or Fixed Deposit Receipt ☒

4. In case I/We have an outstanding obligation on the settlement date, you may retain the towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

6. I/We confirm you that I can revoke the above mentioned authority at any time.


4) **Authorization for Account Modification**

If I/we were to add/modify/remove any of my detail including brokerage plans and segments addition with SAMCO Securities Ltd, I/we authorize you to carry it out based on my request sent through an email to kycesupport@samco.in from my registered email address or intimation through an interface provided by you, whereto I have been allowed secured access.

If you feel the need to do so, then at your own discretion, you may put in place appropriate mechanism to confirm the request before or after its execution by way of a call from a recorded line, or otherwise, personal meeting, SMS or other such other mode as you may deem fit.

5) **Subscription for Margin Against Shares / Margin Trading Facility**

I/We undertake that we have read all the terms and conditions available on the Samco Website (www.samco.in) of Margin Against Shares (Stock Plus) and agree & give my consent to subscribe for the said product.

8. 

Client Signature

Name of the Applicant

Date:

For Individuals

Email: **depository@samco.in**

DP ID

1	2	0	5	4	2	0	0
---	---	---	---	---	---	---	---

Client ID

As per client master attached

Holders Details

[illegible]**Type of Account (Please tick whichever is applicable)**

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)_____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)_____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____	

Details of Guardian (in case the account holder is minor)

Guardian's Name : _____	PAN : _____
Relationship with the applicant : _____	

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)	
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

9 

Name of the Applicant

8

Voluntary Authorization Letter

To,
SAMCO SECURITIES LIMITED
A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Dear Sir,

Sub: Voluntary Authorization Letter

BO ID: 12054200 Client code :

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney / Demat Debit and Pledge Instruction (POA / DDPI)] (if DDPI is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 40%;">Stock Exchange Name/ID</th><th style="width: 30%;">Clearing Member Name</th><th style="width: 30%;">Clearing Member Id (Optional)</th></tr></thead><tbody><tr><td> </td><td> </td><td> </td></tr><tr><td> </td><td> </td><td> </td></tr></tbody></table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member Id (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member Id (Optional)								
Easi	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

2) Authorisation to debit trading account for the demat account charges

I/We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purpose. It would be difficult for me/us to keep issuing separate cheques against your depository bills. I/We therefore authorise you to debit the charges payable towards operations of the above account. I/We are aware of the charges for operating the said account. Any such sum debited to my trading account shall be binding on me/us.

3) Option form for issue of DIS Booklet (Voluntary)

I/We hereby state that: [select one of the option given below]

OPTION 1: I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Demat Debit and Pledge Instruction (DDPI) in favour of **SAMCO Securities Limited** for executing delivery instructions for settling stock exchange trades [Settlement related transactions] effected through such Clearing Member. **OR**

OPTION 2: I / We do not require the delivery Instruction Slip(DIS)for the time being, Since samco provides an OTP based online instruction service & we will issue a DDPI in favour of **SAMCO Securities Limited** for executing the delivery instructions for settling stock exchange trades [settlement related transaction]effected through such Clearing Member. However, the Delivery Instruction Slip (DIS)booklet should be issued to me / us immediately on my / our request at any later date.

I We here by select ☐ **OPTION 1** ☒ **OPTION 2**

4) Request for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account at following email address:

I/We fully agree and are aware of following Terms and Conditions mentioned below.

(a) that I/We will not receive the bill, transaction and holding statements in paper form.

(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.

(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.

(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the SAMCO SECURITIES LIMITED. about change in email address, if any.

In case, the SAMCO Securities Limited is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), SAMCO Securities Limited will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to sent the statements: ☐ daily ☐ fortnightly ☐ weekly ☐ monthly

5) I am aware of the Basic Services Demat Account and I would still like to go for normal Demat account ☐ Yes ☐ No

☐ I do not wish to nominate, incase I wish to nominate, I would submit the nomination form received by me separately.

10.



Sole Holder or Guardian (in case of minor)

Date:

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

TM / DP Name and Address		FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)											
Date		UCC/ DP ID:		1	2	0	5	4	2	0	0	Client ID : As per client master attached	
I/We wish to make a nomination. [As per details given below]													
Nomination Details													
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.													
Nomination can be made upto three nominees in the account.				Details of 1st Nominee			Details of 2nd Nominee			Details of 3rd Nominee1			
1	Name of the nominee(s) (Mr./Ms.												
2	Share of each Nominee	Equally [If not equally, please specify percentage]		%			%			%			
3	Relationship With the Applicant (If Any)												
4	Address of Nominee(s) City / Place: State & Country:												
	PINCODE												
5	Mobile/Telephone No. of nominee(s)												
6	Email ID of nominee(s)												
7	Nominee Identification details -[Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID												
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor													
8	Date of Birth {in case of minor nominee(s)}												
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}												
10	Address of Guardian(s) City / Place: State & Country:												
	PINCODE												
11	Mobile/Telephone no. of Guardian												
12	Email ID of Guardian												
13	Relationship of Guardian with nominee												
14	Guardian Identification details -[Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID												
Name(s) of holder(s)										Signature(s) of holder*			
Sole / First Holder (Mr./Ms.)													
Second Holder (Mr./Ms.)													
Third Holder (Mr./Ms.)													

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

We Accept

For SAMCO SECURITIES LTD



Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Authorized Signatories

A- 302, 3rd Floor, Naman Midtown, A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai - 400013

Declaration Form for opting out of nomination





[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To	Date	3	0	0	5	2	0	2	3
Trading Member/Participant's Name									
Trading Member/Participant's Address									
UCC/DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
Name and Signature of Holder(s)*									
<div style="display: flex; justify-content: space-between;"> <div>1. _____</div> <div>2. _____</div> <div>3. _____</div> </div>									

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



Demat Debit and Pledge Instruction

S.No.	Purpose	Signature of Client*
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms.	
4.	Tendering shares in open offers through Stock Exchange platforms.	

Demat Account Details-Samco Securities Limited

EXCHANGE & SEGMENTS	TYPE OF A/C	NSDL	CDSL
BSE CASH	PRINCIPLE A/C	IN609351	1205420000001278
BSE CASH	EARLY PAYIN A/C		1100001000018570
NSE CASH	EARLY PAYIN A/C		1100001100018602
NSE CASH	POOL A/C	IN560708	1205420000002691
NSE CASH	TM/CM CMPA A/C		1205420001505078
NSE CASH	SLB POOL A/C		1205420001834205

