

## COMMODITY ACCOUNT FORM

### SAMCO COMMODITIES LTD

A-302, 3rd Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Tel:022-22227777,Fax:022-24391810,Email:info@samco.in,Website:www.samco.in

### SET OF ACCOUNT OPENING DOCUMENT

S. No.	Name of the Document	Brief Significance of the Document	Page No
<b>MANDATORY DOCUMENTS AS PERSCRIBED BY SEBI / EXCHANGES</b>			
1	KYC (Account Opening) Application Form	KYC form - Document captures the basic information about the constituent and an instruction/check list	2
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodity exchange(s)	5
3	Uniform Risk Disclosure Document (RDD)	Provided to Client (Consent declaration on P-5) Document Detailing risks associated with dealing in the commodity market including options trading.	Annexur e-A
4	Rights and Obligations of Member/ Authorized Persons/Clients	Provided to Client (Consent declaration on P-5) Document stating the rights & obligations of member, authorized person and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading)	Annexur e-B
5	Do's and Dont's of the Investors	Provided to Client (Consent declaration on P-5) Document detailing do's and dont's for trading on exchanges, for the education of the investors	Annexur e-C
<b>VOLUNTARY DOCUMENTS AS PROVIDED BY THE MEMBER</b>			
6	ECN Declaration	Receipt of contract notes / statements electronically	6
7	Request Letter	Request for trading in commodity forward contracts / commodity derivatives	6

### SAMCO COMMODITIES LIMITED

SEBI Reg. No: INZ000013932: MCX Member Code: 55190

NCDEX/TM/CORP/1123:NCDEX Member Code:1148

### Registered & Correspondence Office Address

A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

**Compliance Officer Details:** Name: Narayan Thanvi Phone No: 022-22227777 Email:compliance@samco.in

**CEO Details:** Name: Pratik Adani Phone No.:022-22227777 Email:info@samco.in

For any grievance/dispute please contact SAMCO Commodities Limited at the above address or email id:grievances@samco.in and phone no. 022-22227777. In case not satisfied with the response, please contact the concerned exchange(s) at MCX: grievance@mcxindia.com and phone no. 022-67318888 ,

NCDEX:askus@ncdex.com Tel: 022-66406789

### **Checklist while submitting the Commodity Application Form**

- ☐ **1 Passport Size Photograph - On Page 2.** Signature has to be affixed across the Photograph
- ☐ **PAN Card** Self Attested Photocopy
- ☐ **Permanent Address Proof:** - Self Attested Photocopy
- ☐ **Bank Proof** - Self Attested Cancelled Cheque with your name printed **OR** Bank Statement stating IFSC & MICR
- ☐ **Income Proof** - Self Attested Photocopy of 6 months Bank Statement or Income Tax Return or Salary Slip or Any other Income Proof
- ☐ **Signatures on 9 areas on the application form**
- ☐ **Signatures on Trading Preference Segments Selected on Page 3 of the Form**
- ☐ **Demat Proof** - Self Attested Photocopy of Client Master Copy/ DP Statement/ Welcome Letter/Transaction cum Holding Statement of your DP

**Know Your Client (KYC) Application Form - For Individuals**KYC Mode: ☐ Online KYC ☒ Normal ☐ EKYC OTP ☐ Offline E-kyc☐ New ☐ Change Request (Please fill this form in **ENGLISH** and in **BLOCK LETTERS**)

(Please tick the box on left margin of appropriate row where the CHANGE/CORRECTION is required and provide the details in the corresponding row)

**A. IDENTITY DETAILS**

1. Name of the Applicant:
2. Father's/Spouse's Name:
3. Mother's Name:
4. Maiden Name:
5. A. Gender: ☐ Male ☐ Female ☐ Transgender B. Marital Status : ☐ Single ☐ Married  
C. Date of Birth : / /
6. Nationality Status ☐ Indian ☐ Other (Please Specify)  
☐ Resident Individual ☐ Non Resident  
☐ Foreign National (Passport Copy Mandatory)  
B. (UID)/Aadhaar, if any:  
**PAN Card**
7. A. PAN :
8. Proof of Identity Submitted

Please affix your  
recent passport  
size photo and sign  
across it.

**B. ADDRESS DETAILS**

1. Correspondence Address :  
Address Line 1 :  
Address Line 2 :  
Address Line 3 :  
City/Town/Village: PIN Code:  
State: Country:
2. Specify Proof of Address submitted for Residence/Correspondence Address :
3. Permanent Address if different from above or overseas address, (mandatory for Non-Resident Applicant)  
Address Line 1 :  
Address Line 2 :  
Address Line 3 :  
City/Town/Village: PIN Code:  
State: Country:
4. Specify Proof of Address submitted for Permanent Address :
5. Contact Details  
a. Mobile Number b. EMAIL ID:  
c. Tel. (Office): d. Tel. (Residence):

6. Any other Information : \_\_\_\_\_

7. Are you a Tax Resident of any country other than India? ☐ Yes ☐ No

(if yes , please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below)

Country	Tax Identification Number %	Identification Type(TIN or Other , Please specify)

**C. DECLARATION**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the person who has done the IPV:

Designation:

Employee ID:

Name of the Organization :

Date of IPV :

☐ Originals Verified and Self Attested Document Copies Received:☐ Attested True copies of documents received

Main Intermediary:

POS Code: **1200000935**

Seal &amp; Signature of Authorized Signatory

4.

Place

**D. Other Details**1. Gross Annual Income range(In Rs.Lakhs): Below 1 ☐ 1-5 ☐ 1-10 ☐ 10-25 ☐ Above 25 ☐Net - Worth in Rs. \_\_\_\_\_  
(Net Worth should not be older than 1 year) as  
on

(date) \_\_/\_\_/\_\_\_\_

2. Occupation:

3. Qualification Details: \_\_\_\_\_

4. (Please Write YES/NO):

(a) Politically Exposed Person (PEP): \_\_\_\_\_

(b) Related to a Politically Exposed Person (PEP): \_\_\_\_\_

**E. BANK ACCOUNT DETAILS: [for Trading & Dividend Bank Details]****Primary Bank Details**

(i) Bank Name:

(ii) Branch Name:

(iii) Bank Account No.

(iv) IFSC Code:

(v) Account Type: ☐ Savings ☐ Current ☐ Others ☒(vi) In case of NRI ☐ NRE ☐ NRO  
Account:(vii) Bank Branch  
Address:**Secondary Bank Details**

(i) Bank Name:

(ii) Branch Name:

(iii) Bank Account No.

(iv) IFSC Code:

(v) Account Type: ☐ Savings ☐ Current ☐ Others ☒(vi) In case of NRI ☐ NRE ☐ NRO  
Account:(vii) Bank Branch  
Address:

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank

**F. DEPOSITORY ACCOUNT(S) DETAILS**

Depository Participant Name

Depository Name

Beneficiary Name

DP ID

Beneficiary ID (BO ID)

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client

**G. TRADING PREFERENCES (For Derivatives Trading including Options)**

Note: Please sign in the relevant boxes against the Exchange(s) with which you wish to trade. The Exchange(s) not chosen should be struck off by the client

S.No	Name of The National Commodity Exchanges	Date of Consent for trading on concerned Exchanges	SIGNATURE OF APPLICANT
1.	MCX	_____	5. 

**H. INVESTMENT/TRADING EXPERIENCE****Prior Experience** (Write YES/NO)

No.of Years Experience in commodities

No.of Years Experience in other investment related fields \_\_\_\_\_

**I. GST REGISTRATION DETAILS****(As applicable, State wise)**

GST Registration No. : \_\_\_\_\_

Other GST Registration No. : \_\_\_\_\_

Validity Date : \_\_\_\_\_

Validity Date : \_\_\_\_\_

Name of the State : \_\_\_\_\_

Name of the State : \_\_\_\_\_

**J. PAST REGULATORY ACTIONS**

Details of any action/proceedings initiated/pending/ taken by SEBI / Stock Exchange / Commodity Exchange/any other authority against the client during the last 3 years :

**K. DEALINGS THROUGH OTHER MEMBERS**

If client is dealing through any other Member, provide the following details (in case dealing with multiple members, provide details of all in a separate sheet containing all the information as mentioned below)

Member's / Authorized Person (AP)'s Name \_\_\_\_\_ Exchange \_\_\_\_\_

Exchange's Registration Number \_\_\_\_\_

Concerned Member's Name with whom the AP is registered \_\_\_\_\_

Registered Office Address : \_\_\_\_\_

Phone No. \_\_\_\_\_ Fax No. \_\_\_\_\_ Client Code : \_\_\_\_\_

Website : \_\_\_\_\_ Details of disputes/dues pending from/to such member/AP: \_\_\_\_\_

**L. INTRODUCER DETAILS (Optional)**

Name of the Introducer

Status of the Introducer Write (YES / NO)

☐ Authorized Person ☐ Existing Client ☐ Others

Address of the Introducer

Phone No. of the Introducer

Signature of the  
Introducer**M. ADDITIONAL DETAILS**

Whether you wish to receive communication from member in electronic form on your e-mail id Yes No (If yes then please fill in Appendix-A)

**N. NOMINATION DETAILS**

Nominee Option

Name of the Nominee

Relationship with  
Nominee

PAN of Nominee

Date of Birth of Nominee

Phone no.

Address of the Nominee

**O. If Nominee is a Minor, Details of Guardian**

Name of Guardian

Phone No. of Guardian

Address of Guardian

Signature of Guardian

**WITNESSES (Only applicable in case the account holder has made nomination)**

Witness 1

Witness 2

Name:

Address:

Signature:

**DECLARATION**

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.

3. I/We further confirm have received the following documents in ☐ Electronic / ☐ Physical Mode read and understood the contents of the 'Rights and Obligations' document(s) (including additional rights and obligations in case of internet/wireless technology based trading), 'Risk Disclosure Document' and 'Do's and Don't, Duly Executed Copy of KYC, General Terms & Conditions governing securities trading and broking services of SAMCO, Risk policies available on our [samco website](#). I/We do hereby agree to be bound by such provisions as outlined in these documents.

Place

6.


Date **30/05/2023****SIGNATURE OF APPLICANT****FOR OFFICE USE ONLY**

UCC Code allotted to the Client :

Documents Verified with originals

In-Person Verification done by

Name of the Employee

Employee Code

Designation of the  
employee

Date

Signature

Seal & Signature of Authorized  
Signatory

I/We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s) (including additional rights and obligations in case of internet/wireless technology based trading), RDD, 'Do's and Don't's' and 'Guidance Note'. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non mandatory documents would be duly intimated to the clients I/We also undertake that any change in the 'Rights and Obligations' and 'RDD' would be made available on my/our website, if any, for the information of the clients.

Place

Date

For Samco Commodities Limited

Authorised Signatory

## SAMCO ONLINE TARIFF SHEET

To

SAMCO COMMODITIES LIMITED  
 A-1004, 10th Floor, Naman Midtown-A Wing,  
 Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

### Charges for SAMCO COMMODITIES LIMITED's online trading Services

I/We agree to pay the charges as per following charges structure for our Trading account with SAMCO Commodities Limited

Client PAN : Client Name :

Charge Head	Brokerage / Charges
Commodity Futures & Options*	Rs 20 per executed order or 0.05% of Turnover whichever is lower

\*Turnover for Options is calculated as (Strike + Premium) \*Lot Size

In addition to the brokerage charge the following charges will also be levied.

No	Schedule of charges
1	Exchange turnover charges (These are charges are paid to the exchanges like MCX, NCDEX)
2	GST (Government tax charged on Brokerage and Exchange Turnover Charge)
3	Stamp Duty: State wise stamp duty as applicable will be levied (State Government tax)
4	Clearing Member charges - on actual basis (These charges are paid to the clearing members for Clearing the trades)
5	Commodity Transaction Tax - CTT (Government Tax for trading in Commodities Market)
6	Call N Trade charges (Charges for broker assisted trades, including RMS Square off)
7	Payment Gateway Charges will be applicable at actual cost (Payable to affiliated Payment Gateway)

Detailed explanation of the Schedule of Charges is available online at <https://www.samco.in/charge-list-equities-and-equity-derivatives>

Date

7.  **SIGNATURE OF APPLICANT**

Place

To,

### SAMCO COMMODITIES LIMITED

A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

#### Sub: Acknowledgement

I state and confirm that I have received, read, acknowledged and agreed to the contents of all the clauses of the below mentioned documents.

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of SAMCO.

#### Sl. No Brief Significance of the Document

1. Duly Executed Copy of KYC
2. Rights and Obligations, Risk Disclosure document (RDD) for Commodities Segment
3. Guidance Note - Do's and Don'ts for trading of the Exchange for investors.
4. SAMCO Tariff Sheet
5. General Terms and Conditions governing commodities trading and broking services of SAMCO.
6. Authorisation of documents for running Account Authorisation and electronic receipt of statements and documents.
7. Read SAMCO RMS policy, Privacy policy, Legal Terms of use & Disclaimer on [samco.in/policies](https://www.samco.in/policies)

Date:

Yours faithfully,

8. 

Client Signature

Name of the Applicant

**Appendix A**  
**Electronic Contract Note [ECN] Declaration**  
**(Voluntary)**

To,

SAMCO COMMODITIES LIMITED A-1004, 10th Floor, Naman Midtown-A Wing, Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Dear Sir,

I a client with member **SAMCO Commodities Limited** of ☐ MCX ☐ NCDEX Exchange(s) undertake as follows :

1. I am aware that the member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
2. I am aware that the member has to provide electronic contract note for my convenience on my request only.
3. Though the member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
4. I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
5. My email id is . This has been created by me and not by someone else.
6. I am aware that this declaration form should be in English or in any other Indian language known to me.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical Contract note, and to hereby take full responsibility for the same]

(The above lines must be reproduced in own handwriting of the client.)

PAN Number :

Client Name : Unique Client  
Code :

Address :

City/Town/Village: PIN Code:

State:

Country:

Place

Verification of the client signature done by \_\_\_\_\_

Name of the designated officer of the SAMCO  
COMMODITIES LIMITED

Date

9. 

Place

**SIGNATURE OF APPLICANT**

**REQUEST FOR TRADING IN COMMODITY FORWARD CONTRACTS / COMMODITY DERIVATIVES**

To

I/We, the undersigned, undertake to comply with the guidelines for calculation of net open positions permitted in any commodity.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by Exchange(s) / SEBI and such position limits will be calculated in accordance with the circulars of exchanges as modified from time to time.

I/We undertake to inform you and keep you informed if any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUF's/trusts in which I or any of above such person is a partner/director/karta /trustee, takes or holds any position in any commodity forward contract/commodity derivative on exchanges through you or through any other member(s) of exchanges to enable you to restrict our position/ limit as prescribed by the exchanges as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on exchanges only on the basis of our above assurances and undertaking.

I/We also am/are authorized to trade in Commodity option and hereby given my/our consent for Trading in Commodity Options subject to the regulatory requirements of the Exchange and SEBI from time to time.

Date **30/05/2023**

10. 

Place

**SIGNATURE OF APPLICANT**



## LETTER OF AUTHORITY (Voluntary)

To,

SAMCO COMMODITIES LIMITED  
A-1004, 10th Floor, Naman Midtown-A Wing,  
Senapati Bapat Marg, Prabhadevi, Mumbai-400 013

Client Name :

Client PAN :

Dear Sir,

**I/We am/are dealing in commodities with you and in order to facilitate ease of operations; I/We authorize you as under:**

1. I/We authorise you to setoff outstanding in any of my accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange(s) and/or against the value of cash margin or other collateral provided to you by me/us.
2. I/We hereby authorise you not be provide order confirmation/modification/cancellation slips and trade confirmation slips to avoid unnecessary paper work. I/We shall get the required details from contracts issued by you.
3. I/We hereby authorize you to keep all the commodity which we give you in margin including the payout of commodity received, to use the commodity for meeting margin/other obligation in SEBI in whatever manner which may include pledging of commodity in favour of bank and/or taking loan against the same of meeting margin/pay-in obligation on our behalf or for giving the same as margin to the SEBI or otherwise.
4. I/We am/are desirous of regularly dealing in commodity market on commodity Exchanges and request you to maintain a running account for funds and commodity on/our behalf without settling the account on settlement of each transaction on my /our behalf. I/We further request you to retain all amounts payable and commodity receivable by me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts or commodity so retained with you pending periodical settlement.
5. I/We request you to retain credit balance in any of my account and to use the idle fund towards our margin/future obligation of both the Exchange(s) unless I/We instruct you otherwise.
6. I/We request you to retain/commodity/securities/warehouse receipt in your demat account for my/our margin/future obligations at both the Exchanges, unless I/We instruct you to transfer the same to my/our account.
7. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
8. I/We request that you may send/ despatch my/our contract notes other documents through e-mail on my designated e-mail address: I/We stress that I will not hold you responsible under any circumstances in the event of an e-mail which you send gets bounced due to any reason such as mail box being full, inactive account or due to any technical reason beyond your control.
9. I/We will completely rely on the log reports of your dispatching software as a conclusive proof of dispatch of e-mail to me and will not dispute the same.
10. I/We will inform you the change in my/our e-mail, if any, in future .
11. Authorization for Communication of Financial Products: I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which SAMCO Commodities Limited, its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.
12. Authorization for Providing Financial Ledger in Digital Form: I/we hereby authorize you to send me/us the financial ledger in the digital form in the prescribed format at my/our E-mail ID / Address.
13. Trading of commodity is in electronic mode, based on VSAT, leased line, ISDN, modem and VPN, combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control and may result in delay in processing or not processing buy or sell Orders either in part or in full. I/We shall bear all consequences of such problems/ glitch and shall not hold the Member responsible for it.
14. I/We agrees that if I/we fail to meet our funds pay-in obligation in respect of any one or more commodity purchased by me before the scheduled pay-in date, the Member shall be at liberty to sell the commodity received in pay-out, in proportion to the amount not received, after taking into account any amount lying to my/our credit, by selling equivalent commodity at any time after the scheduled Pay-in time on the Exchange. If the Member does not sell the commodity within five trading days after the date of Pay-in for any reason whatsoever, such commodity shall be deemed to have been closed out at closing price declared by the exchange for the fifth trading day. I/We agrees that the loss, if any, on account of the close out shall be to my account.
15. I/We further agree that if I/We fail to deliver any one or more commodity to the Member's pool account in respect of the commodity sold by me before the pay-in date notified by the Exchange from time to time, such undischarged obligation(s) in relation to delivering any one or more commodity shall be deemed to have been closed out at the auction price or closing price, as may be debited to me in respect of the commodity for the respective settlement, to the extent traceable to me on my failure to deliver; otherwise the closing price on the date of pay-out in respect of the relevant commodity, declared by the Exchange. I/We acknowledge that the loss, if any, on account of the close out shall be debited to my account. I/We further agrees that if for any reason, schedule of pay-out is modified, the aforesaid shall be made applicable reckoning the actual date of pay-in and /or pay-out, as the case may be.
16. I/We agree that if I/we fail to meet my/our funds pay-in obligation in respect of any one or more contracts purchased by me/us before the scheduled pay-in date, the Member shall be at liberty to square off any open position in my/our account in proportion to the amount not received, after taking into account any amount lying to my credit, at any time after the scheduled Pay-in time on the Exchange. I/We agree that the loss, if any, on account of the said squaring off shall be to the my/our account. I/We acknowledge that the Member will make available the delivery against my purchases only if there are no dues against me/us.
17. I/We can revoke any or all of the above authorizations at any time in writing. Any change in any of the above voluntary/non-mandatory clauses will have to be preceded by a notice . The Member and the Client understand that none of the above voluntary/non-mandatory clause is in contravention with the Rules/Business Rules/Notices/Circulars of Exchanges / SEBI. If any of the above clauses or part thereof is found to be or becomes in such contravention, it shall be of no effect to the extent of contravention.

Date :

Place

11.



**SIGNATURE OF APPLICANT**

## MOBILE DECLARATION

Declaration for Mobile No. :

I having PAN do hereby declare that My mobile number is .Further I authorize SAMCO that the same may be used for giving me any information/alert/SMS.

I hereby also confirm that my aforesaid contact no. is not included in the NDNC Registry or in future if I would have activated the said contact number in NDNC Registry, SAMCO shall continue aforesaid facilities without any disturbance.

I hereby indemnify SAMCO against any legal implications in case of "Do Not Disturb" feature being activated by me.

I further declare the above mentioned statement is true & correct.

Date

12.



**SIGNATURE OF APPLICANT**

Place

### Authorization for Running Account & Non Settlement of Accounts

#### 1) Running Account Authorization

I/We are dealing through you as a client in Commodity market on Commodity Exchanges and in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.

2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.

3. I/We request you to settle my fund and securities account

• Once in a calendar Month ☐

• Once in every calendar Quarter except the funds given towards collaterals / margin in form of Bank Guarantee and /or Fixed

Deposit Receipt

☐ 4. In case I/We have an outstanding obligation on the settlement date, you may retain the towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

6. I/We confirm you that I can revoke the above mentioned authority at any time.

#### 2) Authorization for Non settlement of Accounts for the Quarters

I / we am / are doing continuous trading in commodities with you. I / We am / are aware that Settlement of Accounts is mandatory. However, as per SEBI/Exchanges circulars. I we give my / our consent for not to settle my / our account if the balance is less than 50,000/- ./This undertaking will be valid in all quarter when my/our balance is less than Rs.50000/- till my/our revocation in writing.

Date

13.



**SIGNATURE OF APPLICANT**

Place